#### Provenance-based Trust Model for Assessing Data Quality during Clinical Decision Making 3<sup>rd</sup> ICSE Workshop on Software Engineering for Healthcare June 3, 2021 Virtual

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#### Agenda

#### 1. Research Problem

 Data Quality assessment during clinical decision making

#### 2. Proposed Solution

 Data Quality Trust Model and Assessment Method

### 3. Implementation Example

 Modified BP Centiles app with DQ Trust for Pediatric Hypertension Use Case



## **Research Problem**



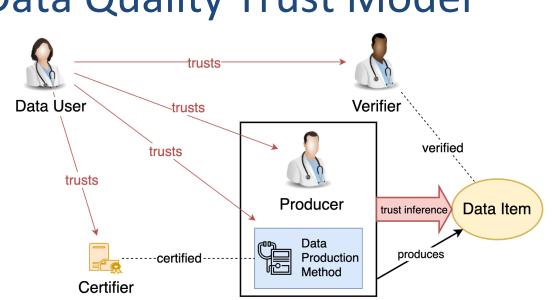
#### **Research Problem**

- Data quality assessment during clinical decision making is important for patient safety
- Most data quality assessment methods not real-time, do not consider contextual data quality of individual data items
- Need for a new approach that assesses trustworthiness of individual data items <u>during</u> clinical decision making
- New approach must address platform interoperability and interface usability challenges as well



# **Proposed Solution**





#### Data Quality Trust Model

#### Data Quality Trust

Defined as user trust in quality of data item based on

- trust in the data production method
- trust in the individual producer that generated data
- trust in individuals that independently verified data
- trust in organisations that certified the production method



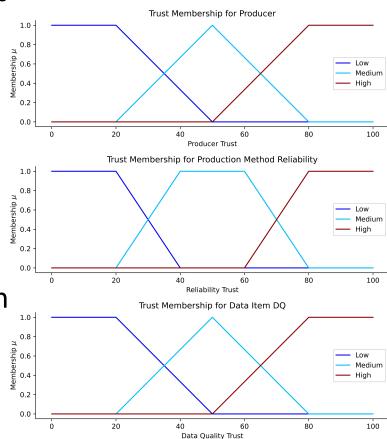
### **Trust with Fuzzy Logic**

- Fuzzy logic can represent uncertain variables through fuzzification
- Defined through fuzzy membership functions
- Allows for linguistic computing "with words"

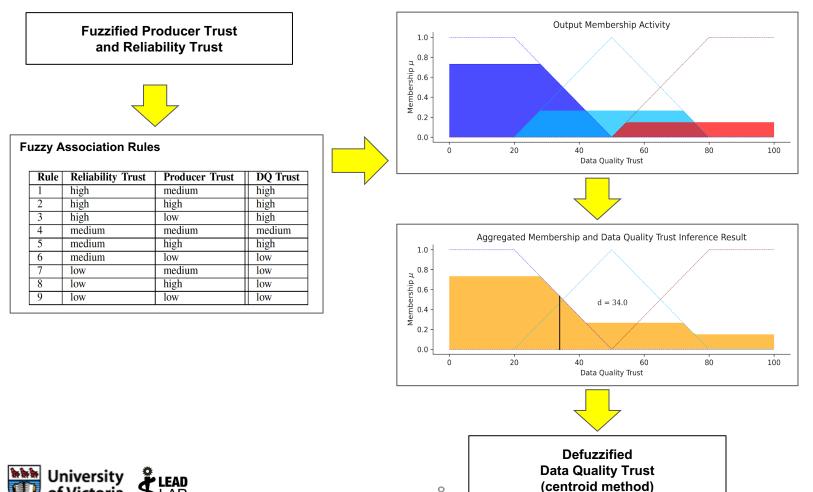
Types of trust in model:

- Producer Trust in Capability of Data Producers
- Reliability Trust in Data Production Methods
- Data Quality Trust in produced data items





### Data Quality Trust Inference with Mamdani Method





#### Interoperability with FHIR

- Custom extensions to FHIR standard v4.0.1
- New Valuesets for three trust membership functions
- Extensions to FHIR Practitioner for trust preferences of practitioners
- FHIR Observation extension for data quality trust

Identifier [Cardinality]	FHIR Extension Type
Practitioner.ProducerTrust [0*]	BackboneElement
.producer [11]	Reference (Practitioner)
.producer-trust [11]	Decimal
Practitioner.ReliabilityTrust [0*]	BackboneElement
.methodcode [11]	CodeableConcept (LOINC)
.device [01]	Reference (Device, DeviceMetric)
.reliability-trust [11]	Decimal
Practitioner.TrustedVerifier [0*]	BackboneElement
.verifier-practitioner [11]	Reference (Practitioner)
Practitioner.TrustedCertifier [0*]	BackboneElement
.certifier-organization [11]	Reference (Organization)
Observation.DQTrust [0*]	BackboneElement
.practitioner [11]	Reference (Practitioner)
.dq-trust [11]	Decimal
producer-trust-levels	ValueSet
reliability-trust-levels	ValueSet
dq-trust-levels	ValueSet



## Dual Process Theories in User Interface Design

- Screens for <u>Heuristic Processing Mode</u>
  - Use of intuition to make quick decisions with limited cognitive effort
  - Lack of expertise in decision task, time constraints and distractions may increase reliance on heuristic processing
- Screens for <u>Systematic Processing Mode</u>
  - Requires higher cognitive effort to process ambiguous decision tasks systematically
    Detailed analysis of decision tasks required

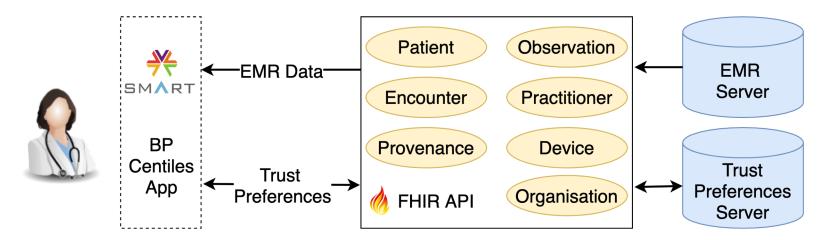


# **Implementation Example**



## Data Quality Trust with SMART-on-FHIR App

Adaptation of existing SMART on FHIR
Blood Pressure Centiles app to demonstrate
feasibility of data quality trust with a clinical data
use case





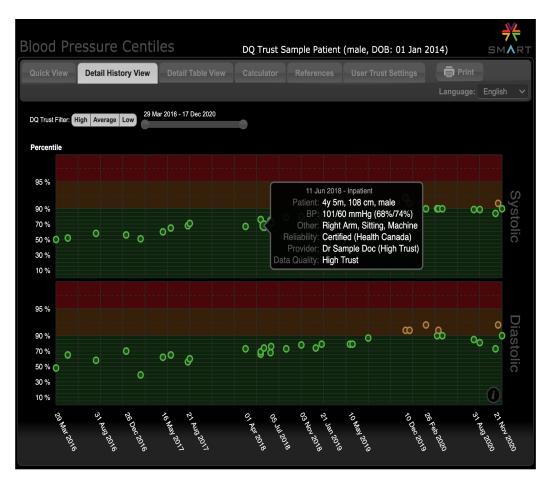
## Heuristic Processing with Data Quality Trust



- Quick View supports hypertension diagnosis with 3 most recent blood pressure centiles
- Data Quality Trust check analyses data provenance for data quality issues

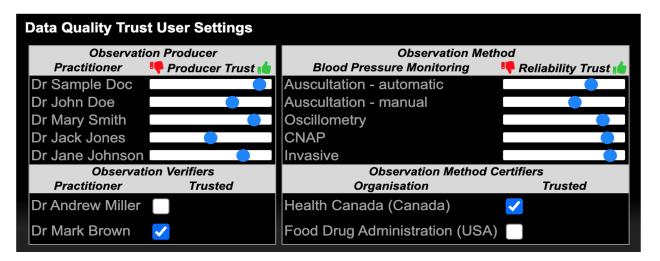


## Systematic Processing with Data Quality Trust



- Detail history view with measurement filter for low, medium, high data quality trust
- Provenance Detail popup shows data producer, production method, other provenance details

## Data Quality Trust User Settings



- Sliding scales for agent trust and reliability trust user preferences
- Selection of trusted verifiers for blood pressure measurements
- Selection of trusted certifying organisations for data production methods



### **Questions?**

